



593/002 CIP 2 Div.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Robert A. VanTassel et al.
Application No. : 10/655,867
Confirmation No. : Not yet assigned
Filed : September 5, 2003
For : BARRIER DEVICE FOR COVERING THE OSTIUM
OF LEFT ATRIAL APPENDAGE
Examiner : Not yet assigned
Group Art Unit : Not yet assigned

New York, New York 10020
December 5, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR
INFORMATION DISCLOSURE STATEMENT

Sir:

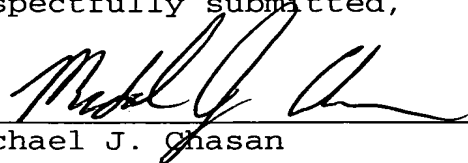
Transmitted herewith is an Information
Disclosure Statement in the above-identified patent
application, copies of the documents cited therein and
Form PTO-1449. This Statement is being submitted:

- ☒ [X] within three months of the application filing
date;
- ☐ [] more than three months from the application
filing date but before the mailing date of the
first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97(b)(1),
submission of this Statement requires no fee. However, if
for any reason a fee is due, the Director is hereby

authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



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In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following documents:

U.S. Patent Documents

<u>DOCUMENT No.</u>	<u>DATE</u>	<u>AUTHOR</u>
178,283	6/6/1876	French
1,967,318	7/24/1934	Monahan
3,844,302	10/29/1974	Klein
3,874,388	4/1/ 1975	King et al.
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4,585,000	4/29/1986	Hershenson
4,603,693	8/5/1986	Conta et al.
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4,710,192	12/1/1987	Liotta et al.
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5,037,810	8/6/1991	Saliba, Jr.
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5,053,009	10/1/1991	Herzberg
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5,078,736	1/7/1992	Behl
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5,192,301	3/9/1993	Kamiya et al.
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WO 98/02100	1/22/1998	PCT
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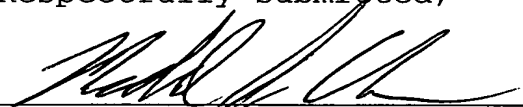
Wessel et al., "Outpatient Closure of the patent ductus arteriosus", Circulation, Vol 77, No.5, 1068-1071, 1988.

Lock et al., "Transcatheter Closure of Atrial Septal Defects", Circulation, Vol. 79, No. 5, 1091-1099, May 1989.

Copies of the aforementioned documents, which are listed on the accompanying form PTO-1449 (submitted in duplicate) are enclosed herewith. It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

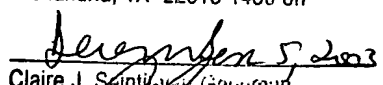
An early and favorable action is respectfully requested.

Respectfully submitted,

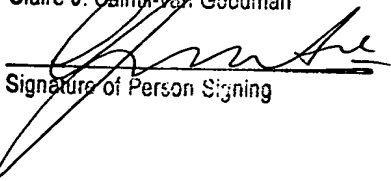


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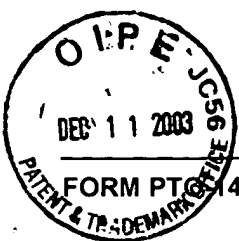
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FORM PTOL 1449

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STATEMENT BY APPLICANTS

DOCKET NO.

593/002 CIP2 Div.

APPLICATION NO.

10/655,867

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Robert A. VanTassel et al.

CONFIRMATION NO.

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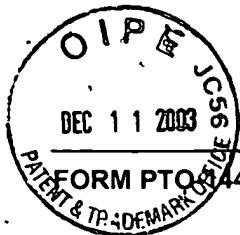
U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	178,283	6/6/1876	French	604	109	
	1,967,318	7/24/1934	Monahan	604	106	
	3,844,302	10/29/1974	Klein	135	26	
	3,874,388	4/1/1975	King et al.	606	232	
	4,007,743	2/15/1977	Blake	606	232	
	4,341,218	7/27/1982	Ü	606	195	
	4,585,000	4/29/1986	Hershenson	606	194	
	4,603,693	8/5/1986	Conta et al.	227	179.1	
	4,665,906	5/19/1987	Jervis	606	78	
	4,710,192	12/1/1987	Liotta et al.	606	108	
	4,917,089	4/17/1990	Sideris	606	215	
	4,921,484	5/1/1990	Hillstead	604	104	
	5,037,810	8/6/1991	Saliba, Jr.	514	56	
	5,041,090	8/20/1991	Scheglov et al.	604	101.02	
	5,041,093	8/20/1991	Chu	604	104	
	5,042,707	8/27/1991	Taheri	606	213	
	5,053,009	10/1/1991	Herzberg	604	104	
	5,064,435	11/12/1991	Porter	623	23.7	
	5,078,736	1/7/1992	Behl	623	1	
	5,108,420	4/28/1992	Marks	606	213	
	5,171,259	12/15/1992	Inoue	606	213	
	5,176,692	1/5/1993	Wilk et al.	606	151	
	5,192,301	3/9/1993	Kamiya et al.	606	213	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.



FORM PTO 449

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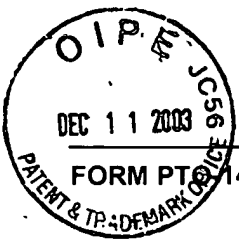
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	5,256,146	10/26/1993	Ensminger et al.	604	104	
	5,258,042	11/2/1993	Mehta	600	36	
	5,284,488	2/8/1994	Sideris	606	213	
	5,306,234	4/26/1994	Johnson	604	49	
	5,334,217	8/2/1994	Das	606	213	
	5,350,399	9/27/1994	Erlebacher et al.	606	213	
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	5,370,657	12/6/1994	Irie	606	200	
	5,375,612	12/27/1994	Cottenceau et al.	128	899	
	5,417,699	5/23/1995	Klein et al.	606	144	
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	5,464,408	11/7/1995	Duc	606	108	
	5,469,867	11/28/1995	Schmitt	128	898	
	5,490,856	2/13/1996	Person et al.	606	139	
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	5,527,322	6/18/1996	Klein et al.	606	144	
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FORM PT 6-1449

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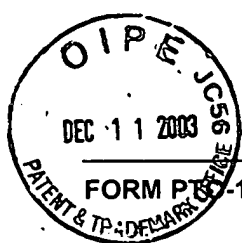
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	5,614,204	3/25/1997	Cochrum	424	423	
	5,634,936	6/3/1997	Linden et al.	606	213	
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	5,637,097	6/10/1997	Yoon	604	176	
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	5,669,933	9/23/1997	Simon et al.	600	200	
	5,681,347	10/28/1997	Cathcart et al.	606	200	
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	5,693,067	12/2/1997	Purdy	606	200	
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	5,702,421	12/30/1997	Schneidt	606	213	
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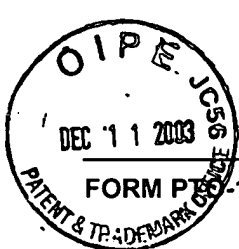
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PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE
STATEMENT BY APPLICANTS

DOCKET NO.

593/002 CIP2 Div.

APPLICATION NO.

10/655,867

APPLICANTS

Robert A. VanTassel et al.

CONFIRMATION NO.

Not yet assigned

FILING DATE

September 5, 2003

GROUP ART UNIT

Not yet assigned

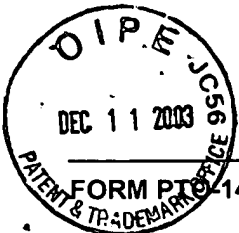
U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,911,734	6/15/1999	Tsugita et al.	606	200	
	5,916,236	6/29/1999	Mujis Van de Moer et al.	606	213	
	5,928,192	7/27/1999	Maahs	604	96	
	5,928,260	7/27/1999	Chin et al.	606	200	
	5,935,147	8/10/1999	Kensey et al.	606	213	
	5,935,148	8/10/1999	Villar et al.	606	213	
	5,941,249	8/24/1999	Maynard	128	898	
	5,947,997	9/7/1999	Pavcnik et al.	606	213	
	5,951,589	9/14/1999	Epstein et al.	606	213	
	5,954,694	9/21/1999	Sunseri	604	96	
	5,957,940	9/28/1999	Tanner et al.	606	155	
	5,976,174	11/2/1999	Ruiz	606	213	
	5,980,555	11/9/1999	Barbut et al.	606	200	
	5,989,281	11/23/1999	Barbut et al.	606	200	
	5,993,469	11/30/1999	McKenzie et al.	606	159	
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	6,007,523	12/28/1999	Mangosong	604	284	
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	6,010,517	1/4/2000	Baccaro	606	151	
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	6,024,754	2/15/2000	Engelson	606	213	
	6,024,755	2/15/2000	Addis	606	213	
	6,024,756	2/15/2000	Huebsch et al.	606	213	

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	6,036,720	3/14/2000	Abrams et al.	606	213	
	6,042,598	3/28/2000	Tsugita et al.	606	200	
	6,048,331	4/11/2000	Tsugita et al.	604	96	
	6,051,014	4/18/2000	Jang	606	200	
	6,051,015	4/18/2000	Maahs	606	200	
	6,056,720	5/2/2000	Morse	604	96	
	6,063,070	5/16/2000	Eder	606	1	
	6,068,621	5/30/2000	Balceta et al.	604	500	
	6,074,357	6/13/2000	Kaganov et al.	604	8	
	6,079,414	6/27/2000	Roth	128	898	
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	6,136,016	10/24/2000	Barbut et al.	606	200	
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	6,152,144	11/28/2000	Lesh et al.	128	898	
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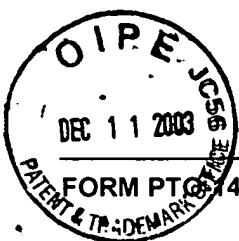
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						YES	NO

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	WO 97/21402	6/19/1997	PCT	A61F A61M	2/06 29/02		
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	WO 98/02100	1/22/1998	PCT	A61B	17/12		
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	WO 99/05977	2/11/1999	PCT	A61B	17/12		
	WO 99/07289	2/18/1999	PCT	A61B	17/00		
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	WO 99/30640	6/24/1999	PCT	A61F	02/ 06		
	WO 00/27292	5/18/2000	PCT	A61B	17/08		
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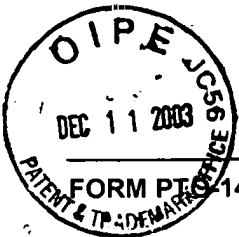
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	Dotter et al., "Transluminal Expandable Nitinol Coil Stent Grafting: Preliminary Report", <u>Radiology</u> Vol. 147, No. 1, Pages 259-260, April 1983
	Cragg et al., "Nonsurgical Placement of Arterial Endoprostheses: A New Technique Using Nitinol Wire", <u>Radiology</u> Vol 147, No. 1, Pages 261-263, April 1983
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EXAMINER INITIAL	
	Wessel et al., "Outpatient Closure of the patent ductus arteriosus", <u>Circulation</u> , Vol 77, No.5, 1068-1071, 1998
	Lock et al., "Transcatheter Closure of Atrial Septal Defects", <u>Circulation</u> , Vol. 79, No. 5, 1091-1099, May 1989.

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